

FEB 26 2001

SEC 10K

Please type a plus sign (+) inside this box [+]

Patent and Trademark Office: U.S. Department of Commerce

0001/PTO Rev. 10/95 TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	U.S. Department of Commerce Patent and Trademark Office	Application Number	09/597,448	
		Filing Date	06/20/2000	
		First Named Inventor	William S. Gatley	
		Group Art Unit	2834	
		Examiner Name		
Total Number of pages in this Submission		8	Attorney Docket Number	99917 (4497-4)

ENCLOSURES (check all that apply)

- ☒ Fee Transmittal Form
☒ Fee Attached

☐ Amendment/Response
☐ After final

☒ Extension of Time Request

☐ Express Abandonment Request

☐ Information Disclosure Statement/PTO-1449

☐ Certified Copy of Priority Document(s)

☒ Response to Missing Parts/
 Incomplete Application
☐ Response to Missing
 Parts Under 37 1.52 or 1.53

- ☐ Assignment Papers
 (for an Application)

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition Checklist and
 Accompanying Petition

☐ To Convert a Provisional
 Application

☐ Power of Attorney,
 Revocation, Change of
 Correspondence Address

☐ Terminal Disclaimer

- ☐ After Allowance
 Communication To Group

☐ Appeal Communication to
 Board Of Appeals and
 Interferences

☐ Appeal Communication to
 Group (Appeal Notice, Brief,
 Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Additional Enclosure(s)
 (Please identify below)

Declaration
Return receipt postcard

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Or Individual Name	Joseph D. Kuborn ANDRUS, SCEALES, STARKE & SAWALL, LLP 100 East Wisconsin Avenue, Suite 1100, Milwaukee, WI 53202
Signature	<i>Joseph D. Kuborn</i>
Date	February 23, 2001

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on this date:			
February 23, 2001			
Typed or printed name	Veronica K. Haupt		
Signature	<i>Veronica K. Haupt</i>	Date	02/23/2001

PTO/SB/17 (10/01) FEE TRANSMITTAL		COMPLETE IF KNOWN																																																																																																													
<div style="position: absolute; left: -50px; top: 50px; transform: rotate(-45deg); font-weight: bold;"> PATE TRADE MARI FEB 26 2001 </div>		Application Number	09/597,448																																																																																																												
		Filing Date	06/20/2000																																																																																																												
		First Named Inventor	William S. Gatley																																																																																																												
		Group Art Unit	2834																																																																																																												
		Examiner Name																																																																																																													
Total Amount of Payment		\$2230.00	Attorney Docket Number	99917 (4497-4)																																																																																																											
METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)																																																																																																													
1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to: Deposit Account Number: 01.2000 Deposit Account Name: Andrus, Scealess, Starke & Sawall, LLP <input checked="" type="checkbox"/> Charge any additional fee required under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Charge the Issue Fee set in 37 CFR 1.18 at the Mailing Of the Notice of Allowance, 37 CFR 1.311(b)		2. Additional Fees <table style="width:100%; border-collapse: collapse;"> <thead> <tr> <th>Large Entity Fee Code (\$)</th> <th>Small Entity Fee Code (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>105 130</td> <td>205 65</td> <td>Surcharge-late filing fee or oath</td> <td>\$130.00</td> </tr> <tr> <td>127 50</td> <td>227 25</td> <td>Surcharge-late provisional filing fee or cover sheet</td> <td></td> </tr> <tr> <td>139 130</td> <td>139 130</td> <td>Non-English specification</td> <td></td> </tr> <tr> <td>147 2,520</td> <td>147 2,520</td> <td>For filing a request for reexamination</td> <td></td> </tr> <tr> <td>112 920*</td> <td>112 920*</td> <td>Requesting publication of SIR prior to Examiner action</td> <td></td> </tr> <tr> <td>113 1,840*</td> <td>113 1,840*</td> <td>Requesting publication of SIR after Examiner action</td> <td></td> </tr> <tr> <td>115 110</td> <td>215 55</td> <td>Extension for response within first month</td> <td></td> </tr> <tr> <td>116 400</td> <td>216 200</td> <td>Extension for response within second month</td> <td></td> </tr> <tr> <td>117 950</td> <td>217 475</td> <td>Extension for response within third month</td> <td></td> </tr> <tr> <td>118 1,390</td> <td>218 695</td> <td>Extension for response within fourth month</td> <td>1390.00</td> </tr> <tr> <td>128 2,060</td> <td>228 1,030</td> <td>Extension for response within fifth month</td> <td></td> </tr> <tr> <td>119 310</td> <td>219 155</td> <td>Notice of appeal</td> <td></td> </tr> <tr> <td>120 310</td> <td>220 155</td> <td>Filing a brief in support of an appeal</td> <td></td> </tr> <tr> <td>121 270</td> <td>221 135</td> <td>Request for oral hearing</td> <td></td> </tr> <tr> <td>138 1,510</td> <td>138 1,510</td> <td>Petition to institute a public use proceeding</td> <td></td> </tr> <tr> <td>140 110</td> <td>240 55</td> <td>Petition to revive unavoidably abandoned application</td> <td></td> </tr> <tr> <td>141 1,320</td> <td>241 660</td> <td>Petition to revive unintentionally abandoned application</td> <td></td> </tr> <tr> <td>142 1,320</td> <td>242 660</td> <td>Utility issue fee (or reissue)</td> <td></td> </tr> <tr> <td>143 450</td> <td>243 225</td> <td>Design issue fee</td> <td></td> </tr> <tr> <td>144 670</td> <td>244 335</td> <td>Plant issue fee</td> <td></td> </tr> <tr> <td>122 130</td> <td>122 130</td> <td>Petitions to the Commissioner</td> <td></td> </tr> <tr> <td>123 50</td> <td>123 50</td> <td>Petitions related to provisional applications</td> <td></td> </tr> <tr> <td>126 240</td> <td>126 240</td> <td>Submission of Information Disclosure Statement</td> <td></td> </tr> <tr> <td>581 40</td> <td>581 40</td> <td>Recording each patent assignment per property (times number of properties)</td> <td></td> </tr> <tr> <td>146 790</td> <td>246 395</td> <td>Filing a submission after final rejection (37 CFR 1.129(a))</td> <td></td> </tr> <tr> <td>149 790</td> <td>249 395</td> <td>For each additional invention to be examined (37 CFR 1.129(b))</td> <td></td> </tr> </tbody> </table>		Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	105 130	205 65	Surcharge-late filing fee or oath	\$130.00	127 50	227 25	Surcharge-late provisional filing fee or cover sheet		139 130	139 130	Non-English specification		147 2,520	147 2,520	For filing a request for reexamination		112 920*	112 920*	Requesting publication of SIR prior to Examiner action		113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action		115 110	215 55	Extension for response within first month		116 400	216 200	Extension for response within second month		117 950	217 475	Extension for response within third month		118 1,390	218 695	Extension for response within fourth month	1390.00	128 2,060	228 1,030	Extension for response within fifth month		119 310	219 155	Notice of appeal		120 310	220 155	Filing a brief in support of an appeal		121 270	221 135	Request for oral hearing		138 1,510	138 1,510	Petition to institute a public use proceeding		140 110	240 55	Petition to revive unavoidably abandoned application		141 1,320	241 660	Petition to revive unintentionally abandoned application		142 1,320	242 660	Utility issue fee (or reissue)		143 450	243 225	Design issue fee		144 670	244 335	Plant issue fee		122 130	122 130	Petitions to the Commissioner		123 50	123 50	Petitions related to provisional applications		126 240	126 240	Submission of Information Disclosure Statement		581 40	581 40	Recording each patent assignment per property (times number of properties)		146 790	246 395	Filing a submission after final rejection (37 CFR 1.129(a))		149 790	249 395	For each additional invention to be examined (37 CFR 1.129(b))	
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid																																																																																																												
105 130	205 65	Surcharge-late filing fee or oath	\$130.00																																																																																																												
127 50	227 25	Surcharge-late provisional filing fee or cover sheet																																																																																																													
139 130	139 130	Non-English specification																																																																																																													
147 2,520	147 2,520	For filing a request for reexamination																																																																																																													
112 920*	112 920*	Requesting publication of SIR prior to Examiner action																																																																																																													
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action																																																																																																													
115 110	215 55	Extension for response within first month																																																																																																													
116 400	216 200	Extension for response within second month																																																																																																													
117 950	217 475	Extension for response within third month																																																																																																													
118 1,390	218 695	Extension for response within fourth month	1390.00																																																																																																												
128 2,060	228 1,030	Extension for response within fifth month																																																																																																													
119 310	219 155	Notice of appeal																																																																																																													
120 310	220 155	Filing a brief in support of an appeal																																																																																																													
121 270	221 135	Request for oral hearing																																																																																																													
138 1,510	138 1,510	Petition to institute a public use proceeding																																																																																																													
140 110	240 55	Petition to revive unavoidably abandoned application																																																																																																													
141 1,320	241 660	Petition to revive unintentionally abandoned application																																																																																																													
142 1,320	242 660	Utility issue fee (or reissue)																																																																																																													
143 450	243 225	Design issue fee																																																																																																													
144 670	244 335	Plant issue fee																																																																																																													
122 130	122 130	Petitions to the Commissioner																																																																																																													
123 50	123 50	Petitions related to provisional applications																																																																																																													
126 240	126 240	Submission of Information Disclosure Statement																																																																																																													
581 40	581 40	Recording each patent assignment per property (times number of properties)																																																																																																													
146 790	246 395	Filing a submission after final rejection (37 CFR 1.129(a))																																																																																																													
149 790	249 395	For each additional invention to be examined (37 CFR 1.129(b))																																																																																																													
3. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other		FEE CALCULATION (fees effective 10/01/97) 1. Filing Fee <table style="width:100%; border-collapse: collapse;"> <thead> <tr> <th>Large Entity Fee Code (\$)</th> <th>Small Entity Fee Code (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>101 710</td> <td>201 355</td> <td>Utility filing fee</td> <td>\$710.00</td> </tr> <tr> <td>106 310</td> <td>206 155</td> <td>Design filing fee</td> <td></td> </tr> <tr> <td>107 480</td> <td>207 240</td> <td>Plant filing fee</td> <td></td> </tr> <tr> <td>108 690</td> <td>208 345</td> <td>Reissue filing fee</td> <td></td> </tr> <tr> <td>114 150</td> <td>214 75</td> <td>Provisional filing fee</td> <td></td> </tr> </tbody> </table> <div style="text-align: right; margin-top: 10px;"> SUBTOTAL (1) \$710.00 </div>		Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	101 710	201 355	Utility filing fee	\$710.00	106 310	206 155	Design filing fee		107 480	207 240	Plant filing fee		108 690	208 345	Reissue filing fee		114 150	214 75	Provisional filing fee																																																																																					
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid																																																																																																												
101 710	201 355	Utility filing fee	\$710.00																																																																																																												
106 310	206 155	Design filing fee																																																																																																													
107 480	207 240	Plant filing fee																																																																																																													
108 690	208 345	Reissue filing fee																																																																																																													
114 150	214 75	Provisional filing fee																																																																																																													
2. Claims <table style="width:100%; border-collapse: collapse;"> <thead> <tr> <th>Total claims</th> <th>Extra</th> <th>Fee from below</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>2 - 20 =</td> <td>0</td> <td>X 0</td> <td>= 0</td> </tr> <tr> <td>Independent Claims</td> <td>2 - 3 =</td> <td>0</td> <td>X 0 = 0</td> </tr> <tr> <td>Multiple Dependent Claims</td> <td>0</td> <td>X 0</td> <td>= 0</td> </tr> </tbody> </table> <table style="width:100%; border-collapse: collapse;"> <thead> <tr> <th>Large Entity Fee Code (\$)</th> <th>Small Entity Fee Code (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>103 18</td> <td>203 9</td> <td>Claims in excess of 20</td> <td></td> </tr> <tr> <td>102 78</td> <td>202 39</td> <td>Independent claims in excess of 3</td> <td></td> </tr> <tr> <td>104 260</td> <td>204 130</td> <td>Multiple dependent claim</td> <td></td> </tr> <tr> <td>109 78</td> <td>209 39</td> <td>Reissue independent claims over original patent</td> <td></td> </tr> <tr> <td>110 18</td> <td>210 9</td> <td>Reissue claims in excess of 20 and over original patent</td> <td></td> </tr> </tbody> </table> <div style="text-align: right; margin-top: 10px;"> SUBTOTAL (2) (\$ 0.00) </div>		Total claims	Extra	Fee from below	Fee Paid	2 - 20 =	0	X 0	= 0	Independent Claims	2 - 3 =	0	X 0 = 0	Multiple Dependent Claims	0	X 0	= 0	Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	103 18	203 9	Claims in excess of 20		102 78	202 39	Independent claims in excess of 3		104 260	204 130	Multiple dependent claim		109 78	209 39	Reissue independent claims over original patent		110 18	210 9	Reissue claims in excess of 20 and over original patent		<div style="text-align: right; margin-top: 10px;"> SUBTOTAL (3) \$1520.00 </div> <p>*Reduced by Basic Filing Fee Paid</p>																																																																					
Total claims	Extra	Fee from below	Fee Paid																																																																																																												
2 - 20 =	0	X 0	= 0																																																																																																												
Independent Claims	2 - 3 =	0	X 0 = 0																																																																																																												
Multiple Dependent Claims	0	X 0	= 0																																																																																																												
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid																																																																																																												
103 18	203 9	Claims in excess of 20																																																																																																													
102 78	202 39	Independent claims in excess of 3																																																																																																													
104 260	204 130	Multiple dependent claim																																																																																																													
109 78	209 39	Reissue independent claims over original patent																																																																																																													
110 18	210 9	Reissue claims in excess of 20 and over original patent																																																																																																													
SUBMITTED BY		COMPLETE (if applicable)																																																																																																													
Type or Printed name	Joseph D. Kuborn Andrus, Scealess, Starke & Sawall, LLP	Registration Number	40,689																																																																																																												
Signature		Date	02/23/01																																																																																																												
		Deposit Account User ID																																																																																																													

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Application Of:

WILLIAM S. GATLEY

Serial No.: 09/597,448

Filed: 06/20/2000

Group Art Unit: 2834

METHOD FOR COOLING A MOTOR
IN A BLOWER ASSEMBLY FOR A
FURNACE

CERTIFICATE OF MAILING

)
)
) I hereby certify that this correspondence is
) being deposited with the United States Postal
) Service with sufficient postage as first class
) mail in an envelope addressed to:
) ASSISTANT COMMISSIONER FOR
) PATENTS, Washington, D.C. 20231, this
) 23rd day of February, 2001.
)

) Veronica K. Haupt 2-23-01
Veronica K. Haupt Date

SUBMISSION OF MISSING PARTS

BOX: MISSING PARTS
COMMISSIONER FOR PATENTS
Washington, D.C. 20231

Sir:

Responsive to the "Notice to File Missing Parts of Application – Filing Date
Granted" mailed August 30, 2000 (copy attached), a four (4) month extension of time
being hereby requested in a separate attachment which is included, enclosed for filing in
the above application are:

1. A Declaration in this application executed by the inventor. The Declaration
includes the name of the inventor and the title of the invention. In accordance with the
Commissioner's announcement in 1035 OG 3, the undersigned registered attorney states
that the application now identified by Application No. 09/597,448 is the application that
the inventor executed by signing the attached Declaration.

2. A check in the amount of \$2230.00 in payment of \$710.00 to complete the
basic filing fee, the \$130.00 surcharge as set forth in 37 CFR 1.16(e) and \$1390.00 in
payment of the extension of time for response within the fourth month as set forth in 37


CFR §1.17(a)(4). Kindly charge any additional fees under 37 C.F.R. §1.16 or 1.17 incurred in connection with this application to Andrus, Sceales, Starke & Sawall, LLP Deposit Account No. 01.2000. A duplicate copy of this submission is attached.

Also, please note that the attorney docket no. is erroneously listed on the Notice to File Missing Parts of Application as FASV-137-C1. The attorney docket no. for this application should be 99917 (4497-4). We ask that the Examiner kindly attend to making this correction.

Issuance of a filing receipt and advancement of the application to examination is respectfully requested.

Respectfully submitted,

ANDRUS, SCEALES, STARKE & SAWALL, LLP

By 

Joseph D. Kuborn - Reg. No. 40,689

Andrus, Sceales, Starke & Sawall, LLP
100 East Wisconsin Avenue, Suite 1100
Milwaukee, WI 53202
(414) 271-7590
Attorney Docket No.: 99917 (4497-4)



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/597,448	06/20/2000	William S. Gatley	FASV-137-C1

Anthony M Lorusso
Lorusso & Loud
440 Commercial Street
Boston, MA 02109

FORMALITIES LETTER



OC000000005363631

Date Mailed: 08/30/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

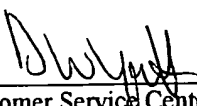
FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 820.

*A copy of this notice **MUST** be returned with the reply.*


Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

02/28/2001 BHABTEN 00000075 09597448
C1 FC:101 710.00 OP
02 FC:105 130.00 OP